

11 NOMINATION (Members): Please tick the correct box below.**11.1 NET 5 - NOMINATION OFF-DUTY**

I/We hereby confirm that I/We do not wish to appoint any nominee(s) for my/our fund unit(s) held in my/our mutual fund unit(s) and a substitute the person(s) listed in my appointment of nominee(s) and further warrant that in case of death of a the account holder(s), my/our spouse has/was/were to submit all the required documents (as per the court's competent authority) based on the value of assets held in the mutual fund(s).

First Unitholder/Guardian POA	Second Unitholder	Third Unitholder

11.2 NET 5 - NOMINATION ON-DUTY

I/We hereby nominate the person(s) here particularly described hereunder to receive the Unit(s) held by my/our Future beneficiary in the event of my/our death:

Details	Number 1	Number 2	Number 3
Beneficiary Name			
Beneficiary Address			
Relationship with the Investor			
Allocation % (Total to be 100%)			
Business PAN			
Mobile No.			
Email ID			
Date of Birth	DD/MM/YYYY	DD/MM/YYYY	DD/MM/YYYY
In case of 3 Numbers in a Row (Residing)			
Guardian Name			
Guardian Address			
Guardian's Relationship with the Minor (attach Proof)			
Minor/Guardian Signature			

11.3 POWER OF ATTORNEY (POA) - MUTUAL FUNDS

POA

First Applicant POA Name									
Second Applicant POA Name									
Third Applicant POA Name									

11.4 DECLARATION & SIGNATURE

I/We have read, understood and agree to comply with the terms and conditions of the Declaration of Additional Information, Scheme Information Document(s) and Key Information Memorandum of the Scheme(s), Foreign Account Tax Compliance Act and Common Reporting Standard, regulatory requirements prescribed by SEBI, CAMS, Prevention of Money Laundering Act, 2002 (PMLA) Money Policy of 360 ONE Asset Management Limited (360 ONE AMC) (Formerly known as FCI Asset Management Limited) available on the website of 360 ONE Mutual Fund www.360one.com and all applicable rules and regulations and hereby confirm that POA have not been revoked/ been issued (by any state or govt. order) in order to revoke this instrument. The APN holder has declared to reside in the commission or the form of the commission or any other mode, available to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to invest. For MFs / POC / PPS only. I/We confirm that I/we are any Non-Resident Indian / Person of Indian Origin / Foreign Portfolio Investor (but not a) Indian / State person as per applicable Regulations in (i) residents of Canada, and I/We have nominated funds held through approved banking channels in four funds in my/our Non-Resident (India) / Non-Resident Ordinary / Direct Account maintained in accordance with applicable RBI guidelines.

I/We hereby accord my/our consent and hereby authorize 360 ONE AMC and/or its (i) directors, members, governing, steering, working committee members of my/our Personal Data to the help of or on the behalf of any person acting under a power of attorney with 360 ONE AMC, in accordance with the Privacy Policy; (ii) not to disclose or divulge with Unique Identification Authority of India (UIDAI) by itself or through its Registrar and Transfer Agent (RTA), hereby authorize the representative of 360 ONE Asset Management Limited and its associates to contact me through any mode of communication. (iii) I/We hereby accord my/our consent for 360 ONE AMC for entering the personal information materials viz. name, AGE, Relationship, address, etc. in the register number and not possibly receive its duplication Form.

First Unitholder/Guardian POA	Second Unitholder	Third Unitholder

GENERAL INSTRUCTION

1. Please read the Key Information Memorandum (KIM), Statement of Additional Information (SAI), the Scheme's Standard Document (SD) and all other relevant documents in the carefully before investing. It is deemed that all investors have read and understood and accepted the terms subject to which they are subscribing and attaching to the terms envisaged by the application. A trust is deemed to be the same.
2. The application form must be filled in English and in BLOCK letters using black or dark blue ink on one side. Incomplete applications are liable to rejection. Please ensure that all requests (initials and documents) have been provided. This will ensure successful processing and avoid any processing delays and/or a rejection of the Application Form.
3. Applicant's name and address must be recorded in SE (TD) Box No. 1 and authority, in case of multiple applicants, of communication and payments to whom correspondence / OCW Policy will be made in favor of the applicant only if the first applicant is a minor (the terms of the question will also set out details of the situation to be taken in the event of death). Date of birth is required for existing accounts to be merged/transferred/consolidated. Providing Mobile No., E-mail address and the best-possible e-contacts, which may be a different communication.
4. All applicants must sign the form, including existing (this rule, if any). Trusts to provide a legal authority or a correct signatory (Senior Public officer or an official seal, in case of HUF, ONLY the Karta should sign on behalf of the HUF. Authorized signatories, signing on behalf of a Trust or Coparenting Trust, should sign with their official seal which mentions that designation. A list of Authorized Signatories with their terms & designations may be filled / attached if not attached with the application form.
5. Please read the Scheme Plan / Option as not attached / indicated in the Application form, the units will, by default, be allotted under the default options. Thus, investors are requested to clearly indicate the designations in the application form if different.
6. In case of Mithi of holding is not mentioned for joint holder's, the default mode of holding will be (JOINT).
7. The investment has to be done on behalf of the trust, then the trust shall be the sole holder in the AMC account. Just holding is not allowed in the Withdrawal account (as per SD) of the trust.
8. Queries in the form should be at the time provided where a future question is not asked or not for a correct application form.
9. If the investment has been executed through a distributor, the name and AMC Code of the distributor should be mentioned. The fee will also be mentioned as DIRECT investment. For Direct investment please mention "Direct" in the Column "Name of the Distributor".

Options	Minor/Child mentioned by the trustee	Partnership by the trustee	Default Plan to be selected
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct Plan	Direct Plan
3	Not mentioned	Regular Plan / Other than Direct Plan	Direct Plan
4	Mentioned	Direct Plan	Direct Plan
5	Direct	Not mentioned	Direct Plan
6	Direct	Regular Plan / Other than Direct Plan	Direct Plan
7	Mentioned	Regular Plan / Other than Direct Plan	Regular Plan / Other than Direct Plan
8	Mentioned	Not mentioned	Regular Plan / Other than Direct Plan

10. In case of being invited investors AMI codes mentioned in the application form, the applicant shall be processed under Regular Plan. The AMC shall not attach / attach the correct PAN card with the application form in the case of the applicant being from the investor's residence. In case, the correct code is not received within 30 calendar days, the AMC shall reject the transaction under Direct Plan from the date of application with any interest.
11. In case the subscription amount is IN 1000/- or more and your Distributor has opted to receive Transaction Charges, IN 100/- will be deductible from the purchase / subscription amount payable to the Distributor. Units will be issued against the balance amount received.
12. Investors can opt for Special facilities like Systematic Withdrawal Plan, Systematic Transfer Plan, STEP UP & Systematic Withdrawal Plan, Separate Redemption / Investment from the Plan or a combination of these facilities.
13. The investor cannot undertake any financial and non-financial transactions relating back registration of Systematic Transfer Plan (STEP), Systematic Withdrawal Plan (SWP) and Systematic Withdrawal Plan (SWP) after the date of the start of the maturity period of the investment to the change in status of the investor.
14. In case investor wishes to opt for SWP through NACH mode then a separate SWP card/ Mandate Form needs to be submitted along with the Correct Application Form. In case an existing investor wishes to opt for NACH mode to SWP then only SWP card/ Mandate form needs to be submitted.
15. Fully Paid-up Reverse (RPF) are defined as individuals who need not have been employed with a government/public employer in a foreign country, e.g., Heads of State or of Government, senior politicians, senior Government officials

officers, senior executives of state owned corporations, important political party officials etc.

16. If the investor name on the application form and on the payment instrument are different, the application will be processed and units will be allotted in applicant's PAN of the scheme mentioned in the application / transaction duly verified by investors' given that the same constitutes a valid legal document between the investor and the AMC.
17. If there is a change in the applicant, as mentioned in the application form, then in such case with the same on the PAN Card, application may be rejected, or further transactions may be voided as per policy.

APPLICANT'S INFORMATION

1. When applications have been made by individual investor under a Power of Attorney, the original Power of Attorney in a duly validated copy should be submitted along with the application form. In case of applications made by non-individual investors, the submitted application if such non-individual investor should sign the application form in terms of the authority granted by the under the Constitutional Documents/Trust documents / Power of Attorney, etc. A list of common signatures of the individual signatories, duly verified / attested should also be attached to the Application Form. The Mutual Fund/AMC Trustee shall ensure that the investments made by such non-individual investors are not prohibited by any Constitutional documents governing them or they possess the necessary authority to invest.
2. Application made by a limited company or by a body corporate or a registered society or a trust, should be accompanied by a copy of the relevant resolution or authority to invest in such schemes, at the time may be, along with a certified copy of the Memorandum and Articles of Association or Trust Deed / By Laws / Memorandum, whichever is applicable.
3. Detailed list of business transactions after opening the account (if any).
4. For application given in support of a minor the required position of the paternal/ maternal/ brother or legal guardian and proof of Date of birth of the minor is MANDATORY. The relevant documents must be submitted along with the application as per below:
 1. Self certified passport photo
 2. Passport photograph or
 3. School leaving certificate / State school leaving certificate / Higher secondary / Secondary / Intermediate / KCE / CBSE etc. or
 4. Any other valid proof of the date of birth of the minor
 5. In case of joint application, guardian supporting documents, address should be provided
 6. In case of related guardian a document supporting the relationship if the same is not available except of the documents submitted as per point 4-a above

5. **PERMANENT ACCOUNT NUMBER (PAN) - IEN** has made it mandatory for all applicants (part of the applicants, where multiple investors are present to receive their/their respective account number (PAN) irrespective of the amount of a share when the applicant is a trust, and also, in presence of the PAN card, the applicant shall quote the PAN of his/her father or mother or the guardian, signing on behalf of the minor, as the case may be. To verify that the PAN is properly quoted, in case of application in joint names, each of the applicants has been verified against the records of PAN card database, a photograph of the PAN card duly self-certified along with the original PAN Card. The original PAN Card will be returned immediately to the counter after verification. Applicants from certain states such as those: Systematic Investment, Micro investment (including long term & Micro SIP) & Investor residing in the state of Bihar are exempt from the mandatory requirement of PAN card submission however they are required to mandatorily submit PAN through KYC Referral by (REGRI) to SEBI-DIGI status Fund Applicants not complying with the above requirement may not be accepted prospect. Additionally, in the event any Applicant Form being subsequently rejected for non-compliance with any of applicant's PAN details with the records on file with the Income Tax Department, the withdrawal transaction will be cancelled, and the amount may be withdrawn at the applicant's bank, subject to payment of all tax, if any. Please contact your Distributor / DMO / Investor Service Centre or visit our website (www.NIFTM.com) for further details.

Non-Compliance

SEBI vide its circular dated July 24, 2012 has clarified these investments in Mutual Schemes, including investments in SIPs of up to Rs.2,00,000 per investor per year across all schemes of the Fund shall be exempt from the requirement of PAN. Accordingly, individuals including joint holder who are individuals, HUFs but not POCs, Minors and Date proprietary trusts who do not possess a PAN ("Eligible Investors") are exempt from submission of PAN for investments up to Rs.2,00,000 in a rolling 12 month period of the financial year (i.e. April to March). However, Eligible Investors are required to undergo Any Your Account KYC procedure with any of the SEBI registered KYC Registration Authorities (KRAs). Eligible Investors must quote PAN through KYC Referral Number (REGRI) received by the KRA under the KYC acknowledgement letter to the application form and send a copy thereof along with the application form. In case the applicant is a minor, PAN / POC's details of the Guardian must be submitted as applicable. Eligible Investors (i.e. Non-HUFs) may not transact a PAN at the time of all or some of the transactions. Eligible investors must submit only one PAN (i.e. PAN) to any one of the KRAs.

If an investor is exempted together with investments made at a string 12-month period or a financial year exceeds Rs.2,00,000, such an application will be rejected.

Fixed / Additional Purchase and Systematic Investment Plans will be covered by the terms of the SECURE Investor only until their investment in other SECURE Investors. If the amount per batch transaction is \$5,000 or more, it automatically will be added to the Service Investor while it reports funding a copy (OTM) to the Mutual Fund.

The detailed procedures / requirements for accepting applications will be as specified by the MIC Trustee from time to time and other Investor-related and related documents.

*Not all other categories are eligible for such investments.

4. **PREVENTION OF MONEY LAUNDERING AND KNOW YOUR CLIENT (KYC)** - Investor in various countries and has various assets may need KYC information. SEC issued final guidance - **REGULATORY DUE DILIGENCE** dated October 15, 2011, **REGULATORY DUE DILIGENCE** dated December 12, 2011 and **REGULATORY DUE DILIGENCE** dated December 22, 2011 including SEC registered intermediaries as enclosed herein to follow, with effect from January 01, 2012. A written KYC compliance procedure for all the Investors dealing with them on or after that date. SEC also issued KYC Regulatory Agency (KYC) Regulations 2011 and the guidelines on prevention of the said Regulations and for Investor Verification (IV). All investors (individual and non-individual) are required for KYC compliance. However, application should not be submitted until you get KYC compliance and are investment in the future or returns should be through a Guardian, who shall be KYC compliant for the purpose of Investing with a Mutual Fund. Also, investors will be taken through a KYC process. KYC holders are not permitted to apply KYC Compliance on behalf of the issuer or the FIA. Details of KYC compliance are provided for change in name, address, and other KYC details. Details should be updated when a change such information. SEC will extend the services of electronic KYC.

In line with the SEC order No. 33393-0-2012 dated April 11, 2012 and various other guidelines issued by SEC in the past and related to KYC Compliance, the Investor is requested to seek the following additional necessary shall be applicable for KYC Compliance with effect from December 1, 2012:

- 1) For an existing investor of SEC ONE MF, who is already KYC Compliant until the initial submission KYC with CIL/CIL/MF (mutual funds) to be effect for subsequent Purchase/ Additional Purchase (or ongoing SIP/STP, etc) in the existing Mutual Funds which are KYC compliant. Existing Fds holder are also open a new file with SEC ONE Mutual Fund with the same information KYC.
- 2) For existing investor of SEC ONE Mutual Fund who is not KYC Compliant as per the records, the investor will have to submit the standard KYC Application form available on the website www.cil.com along with supporting documents of any of the SEC registered intermediaries in the line of purchase / withdrawal certificate / new registration of SIP/STP etc. In Person Verification (PV) will be necessary for the KYC Submission. The written KYC submission about a written submission of documentation.
- 3) Investors who have complied with KYC process before December 31, 2011 KYC status with CIL/IFA as "KYC - VERIFIED BY CIL/MF" and not investment in the schemes of SEC ONE Mutual Fund, do not need a file either, and which contracts on or after December 31, 2012, such investors will be required to submit "existing investor" KYC information and complete the KYC requirements.

Method of "existing" is not available KYC information along with PV is currently a one-time requirement and can be completed either one of the mutual funds where investors have existing investments. Once the same is completed, the KYC status of CIL/IFA will change to "Verified by CIL/MF" who has verified, in such a context, when the KYC data changed is verified by CIL/IFA, investors need not submit the "existing investor" KYC information in mutual funds again.

Individual Investor are required to submit KYC (Bank Change Form) security CIL/IFA website or the website www.cil.com.

In case of Institutional Investors, complied with KYC process before December 31, 2011, KYC status will be done after due to significant and major changes in KYC requirements. Investors need provide complete details in the KYC Application form along with the required documents. All Institutional Investors or non-institutional investors to appropriate. The past form is available on the website / www.cil.com Mutual Funds in India or www.secdirect.com or on the website of your authorized FIA.

7. In case of NRI (N) returns for Account Statements / Redemption Cheques / Diver and equivalence will be sent to the mailing address mentioned.
8. All applications are accepted subject to double activity and verification Applications which are not complete in all respects are liable for rejection, where the investor, post itself or subsequently after itself country verification of the last office of the regulator.

II. BANK DETAILS

1. As per the SEC guidelines, it is MANDATORY for investors to mention their bank account details in the application form. In the absence of the bank details the account form will be rejected.

2. Further Application requests should necessarily mention the bank account details in bank name, bank account number, bank branch used for routing the payments to the fund. The subscription payment has to be made from the Investor's bank account or through any of the bank accounts wherein he is one of the joint bank account holders. If this is not sufficient in the payment disbursement/instruction (DIB/MT) request, investor shall also give by the investor at the time of subscription the call letter about such necessary supporting documents as required by the fund like attested copy of bank passbook / bank statement copy, bank statement etc. to prove that the funds are from a bank account held by the first and holder only. If the documents are not submitted with the application the first investor has the right to reject the application or either withdrawal share.

3. Direct Credit of Redeemable / DCIM Proceeds / Partial Redemption - SEC ONE MF will continue to provide direct / electronic credit to DCIM / redemption payments of the Investors bank account directly. In case the direct credit is not affected by the unit holder's failure for any reason then SEC ONE MF reserves the right to make the payment to the investor by a cheque / DD. If the electronic credit is stopped or not affected or added to a wrong account, or account of incorrect or incorrect information, SEC ONE MF will not be held responsible. Please provide the MIC/DCIM/REG code in the details of your Cheque/ DD to help your bank to credit DCIM/MTI holder DCIM and redemption payment.

4. SEC ONE MF often faces a number multiple bank accounts in the first and designate type of the bank account as "Default Bank account". Default bank account will be used for all DCIM and redemption payments unless investor specifies one of the existing registered bank accounts. In the redemption request for receiving redemption proceeds. A new non-registered bank account specified in the redemption request for receiving redemption proceeds will not be considered and the redemption proceeds will be default be credited into the default bank account. There is no other bank to which the redemption proceeds account registered in the first and then apply for the redemption request. Also, if an registered bank account is mentioned in the first of redemption, but by default the redemption proceeds will be credited into the default.

BANK ACCOUNT

1. In accordance with the AMLI Best Practice Guidelines Circular No. 10025-11 dated October 22, 2011 and Circular No. 30/2012-14 dated February 10, 2012 and related operational note, investors are requested to submit the any one of the following documents shall be required to submit as a proof of Bank Account Details for Redeemable/DCIM, in case the cheque credited along with bank non-compliance. All investor need to complete the Bank Account Details application for the subscription form to redemption DCIM/MTI effective from March 1, 2012.
- Cancelled original cheque of the Bank Account Details with the cut-off date. Name and bank details should be printed on the cancelled cheque (2).
 - Self attested copy of bank statement with current balance not older than 3 months (2).
 - Self attested copy of bank passbook with current balance not older than 3 months (2).
 - Bank Letter duly signed by bank manager/authorized personnel.

III. INVESTMENT & PAYMENT DETAILS

1. Payment should be made by crossed cheque, Demand Draft/yp order, bearing the scheme name and marked "Account Funds" payable directly to the city where the application is submitted. Post-dated or intimation cheque/cashier's note is not permitted. Application received with submission of a payment instrument shall be rejected. With a view to avoid fraudulent practices, it is recommended that Investors who make the payment instrument (cheque, demand draft, etc) should specify and the following on KYC Scheme As Permitted.

Account Number (if KYC Scheme As Permitted name) / Investor's name as per the Mutual Fund details of the investor in making the payment instrument.

In case of Investments made in the name of a minor through a guardian, required any funds, they shall be accepted from the bank account of the minor, parent or legal guardian of the minor, or from a joint account of the minor with parent or legal guardian only. Documentary proof, Power of Attorney, and attested MIC, must be submitted along with the application to the mutual funds.

irrespective of the source of payment for subscription, all redemption proceeds shall be credited only to the written bank account of the investor. In the account the investor may have with the parent/ legal guardian after complying all KYC formalities.

2. Please mention the application serial no. on the reverse of the cheque/demand draft and attach it to the application.
3. In case the payment is made through Demand Draft or Bankers Cheque a return Receipt shall be submitted along with form (FORM) a MIC. An Account Debit Certificate from the Bank issuing the draft, confirming the debit should be submitted. The subscription form by NRI / FCI/ Account cheques, the application form will be accompanied with a statement of the cheque or Account Details and Call letters from the Investor.
4. Draw the Bank mandate (OTM) holder/other investor to register a non-Mandate with the banker which will contain a pre-filled upper lot for the amount to be filled from the bank account for every Purchase/ Redemption done. If the investor is making payment through OTM facility registered in the form, then he must fill the relevant box and not attach any cheque along with the purchase request. The subscription proceeds will be credited from the bank account details as mentioned in the OTM facility opened by the investor.

This exemption is applicable only to individuals holding NREs, income being through guardians, trusts, partnerships, firms and joint tenancy. Other categories of investors (e.g. FHO, HUF, CFCs, etc.) are not eligible to seek exemption.

Investors are requested to note that, in case when a lump sum investment is made during the financial year and subsequently a few SIP instalments are paid throughout the financial year, the lump sum investment for that financial year would be Rs. 10,000. Each SIP application will be rejected.

In case when a SIP instalment is submitted during the financial year and subsequently a lump sum investment is being made provided that the total investment for that financial year exceeds Rs. 10,000, such lump sum application will be accepted.

Redemption if any in the Mutual Investment Fund, shall not be considered for ascertaining the exemption limit for each financial year. Consolidation of bids and withdrawal by the FPI/FPII is not to be taken along with the investor details.

2. In case the first Mutual SP investment is processed and the application is found to be defective, the Mutual SP regulator will be asked to take the investors, for whom it is made for the units already allotted, investor will be sent a communication to that effect. Where necessary, the following will be considered for ascertaining the exemption limit for each financial year. Consolidation of bids and withdrawal by the FPI/FPII is not to be taken along with the investor details.

III. UNITS HELD IN THE DEMAT/BLIND FORM

1. With effect from October 1, 2011, in accordance with SEBI Circular No. MCDP/SEBI/1 dated May 19, 2011, an option is authorized for the units of the Scheme/Portfolio of 300 OMF in dematerialized (demat) form is being provided to the investors in terms of the guidelines/procedure instructions as laid by the Depositories (NSDL/CDSL) Stock Exchange (NSE/BSE) from time to time.
2. The Unit holders are given an option to hold the units by way of an Account Name as Physical Investor or Dematerialized (Demat) form. Unit holders holding the units in demat form shall provide their Correct Account details in the specified section of the application form. The unit holder intending to hold the units in Demat form are required to have a demat account with the Depository Participant (DP) registered with NSDL / CDSL, as may be indicated by 300 OMF MF and will be required to indicate in the application the DP's name, DP ID Number and the beneficiary account number of the applicant with the DP. Applicant is requested to be aware of certain other details like Client ID, Address and PAN details to be mentioned in the application form matches that of the account held with the Depository Participant. Only those applicants where the details are matched with the depository data will be issued to demat applications. If the details mentioned in the application are inconsistent, are not matched with the depository data, then a OMF will be added to the physical form as an alternate statement of all units held in Demat. Such investors will not be able to trade in the stock exchange if the holdings are converted to demat form.
3. Unit holders applying for units in the DEMAT mode can submit redemptions only through DP in the open stock exchange platform.
4. Unit holders applying for investment in DEMAT mode (pooled up) for facilities like SIP, STP & Divid.
5. In case, the Unit holder wishes to hold the units in a Dematerialized Form at a later date, the request for conversion of units held in a physical form into DEMAT (pooled up) form is reviewed along with the submitted along with a Demat Request Form to the Depository Participant.
6. Units held in DEMAT form will be freely tradable subject to issue of Equity Linked Savings Certificate.

III. ULTIMATE BENEFICIAL OWNERS - Pursuant to SEBI Master Circular No. CDS/ML/CS/20 dated December 29, 2010 on Anti Money Laundering Standards and Guidelines on Identification of Beneficial Ownership issued by SEBI and to Circular No. CDR/MS/CS/2011 dated January 24, 2011, investors (other than individuals) are required to provide details of Ultimate Beneficial Owner (UBO) and submit proof of identity (i.e. PAN with photograph or any other acceptable proof of identity provided in section 13(1) of UBOs) for the UBO.

Provision of Money Laundering Rules, 2005 covering all the money lending company, financial institution (including Mutual Funds) and intermediaries in the securities sector shall identify the beneficial owner. In case the investor is one of the underlying entities of a company listed on a stock exchange or is a private equity investor of such a company, the details of shareholders or beneficial owners are not required to be provided. Nevertheless, applicable investors are requested to include the details in Ultimate Beneficial Ownership (UBO) by filing at the Investor View for Ultimate Beneficial Ownership. Providing information about beneficial ownership will be applicable to the subsidiaries, wherever that of categories of entities except subsidiaries of a Company listed on stock exchange or is equity owned subsidiary of such a Company. Proof of identity of the UBO such as name, Address & PAN/Passport together with self attested copy along with the declaration form to Ultimate Beneficial Ownership are required submitted to UBOC/MAC/MSA.

(For guidelines please refer to section 13 of the UBOs)

- III. a. Foreign Account Tax Compliance Act (FATCA) in accordance with the various provisions of the Foreign Account Tax Compliance Act (FATCA) as contained in the United States Foreign Account Tax Reporting Development (FATCA) Act, 2010, there is a Network of Affiliability has been entered into under Internal Revenue agreement from the subjects of United States of America (USA) with respect to the schemes, unless such scheme was FATCA compliant. In this regard, the respective governments of India and US have agreed on the precise terms of a proposed the Governmented Agreement (IGA) and the same is likely to be entered in near future, in form of the proposed GILTI OMF Mutual Fund ("GI OMF MF") and a GI OMF Asset Management Limited ("GI OMF AMC") are likely to be developed as a Foreign Financial Institution ("FFI") under case 300 OMF MF and as stated is required, from time to time, to (a) conduct the necessary due diligence process; (b) identify all reportable accounts; (c) collect certain required information; (d) maintain information ("Information") with respect to the beneficial status of the said scheme; and (e) timely and accurately disclose information to the relevant authorities. Such information may include (without limitation) the full identity of the legal identity of the unit holder, details of the beneficial owners and controlling persons etc. In this regard and in order to comply with the relevant provisions under FATCA, the unit holders would be required to fully complete & furnish the required information to the AMC, as and when deemed necessary by the latter in accordance with IGA and/or relevant process of guidelines etc, which may be issued from time to time by SEBI and/or any other relevant appropriate authorities. The applications which do not provide the necessary information are liable to be rejected. The applicants are advised/ requested to maintain an adequate level of cooperation and/or furnish the necessary information in respect to the possible implications of FATCA on their investments in the schemes.

Investors are requested to note that, in case when a lump sum investment is made during the financial year and subsequently a few SIP instalments are paid throughout the financial year, the lump sum investment for that financial year would be Rs. 10,000. Each SIP application will be rejected.

- b. Details under FATCA/Foreign Tax Law: The Regulators require us to collect information about such investors for residents, in order to determine (including the tax-exemptive activities and/or status) from you so as to be eligible to share information on your account with relevant jurisdictions. If you have any questions about your tax residency, please contact your tax advisor. Once it is clear for us any change in information provided, please advise your advisor as promptly as within 30 days. If you are a US citizen or resident or permanent lawful permanent resident under laws of the foreign country information factoring with your US Tax Identification Number, Foreign Account Tax Compliance Act (FATCA) compliance activities (as mentioned in FATCA) are contained in the US Notice 2011.
- c. If you are classified as a passive FFI for FATCA purpose, please indicate the action being taken to Ultimate Beneficial Owner (UBO), details of any specified US person having controlling interest in the foreign entity information that along with a FATCA Identification Number for FATCA purposes. Foreign Account Tax Compliance Act (FATCA) compliance activities (as mentioned in the US Notice 2011).

3. Declaration under FATCA

- a. The information provided in the Form is in accordance with section 285B of the Income Tax Act, 1961 read with Rules 114F & 114H of the Income Tax Rules, 1962.
- b. The information provided herein is true, correct and complete and as far as the facts material evidence provided by means are in the best of my knowledge and belief true, correct and complete and I have not withheld any material information that may affect the assessment/regularization of the account as a Reportable account in relation.
- c. I/We guarantee/confirm to the Company to collect, store, communicate and process information relating to the Account and all transactions thereon, by the Company and any of its officers whenever relevant relating sharing transfer and distribution between them and to the authorities in order to comply with any of the conditions of information for compliance with any law or regulation which may be in force.
- d. I/We undertake the responsibility to update and furnish within 30 days from the date of change and change that may be relevant in the information provided in the Form, its supporting documents as well as in the documentary evidence provided by us or if any certification becomes incorrect and to provide such self-certification along with documentary evidence.
- e. I/We do agree that our failure to disclose any material fact known to us, now or in future, may constitute an application and the Company would be within its right to get restrictions in the operations of legal account or close it or report to the regulator under any authority designated by the Government of India or OMB, for the purpose of carrying out the activities as may be deemed appropriate by the Company if the defaulter is not remedied by us within the stipulated period.
- f. I/We hereby accept and acknowledge that the Company shall from time to time carry out a thorough and complete investigation from the information available in public domain for verifying the information provided by me / us to the Company.
- g. I/We do hereby accept responsibility to update myself / us and/or in compliance of all times with all relevant laws relating to reporting under section 285B of the Income Tax Act, 1961 as mentioned.
- h. I/We do agree to furnish such information under your documents as the Company may be required from time to time as required by the regulator, other than that stated in the above-mentioned terms.
- i. I/We shall indemnify the Company for any loss for any way to be incurred by the Company as a result of providing or not providing information.

- IV. a. Investors/Client may take an option to subscribe under scribble and/or under contract by participating through the GDR Pools and/or undertaking Application/ Bid.
- b. Investors/Client may access the SCORE scheme and the GDR pool to compare their personal details with the below list.
- c. GDR Participants concerned for the following - www.score.gov.in
- d. SCORE's Pools can be accessed via the following link - www.score.gov.in